

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Naoki YOSHIDA



Serial No.: 10/814,288

Group Art Unit: 3679

Filing Date: April 1, 2004

Examiner: Hewitt, James M.

For: PIPING CONNECTOR

Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

**SUBMISSION OF SUBSTITUTE SPECIFICATION**  
**UNDER 37 C.F.R. §§1.52, 1.121(b)(3), AND 1.125**

Sir:

In response to the Notice of Non-Compliant Amendment mailed December 9, 2005 (copy attached), Applicant submits herewith a "clean version" (without markings) of a Substitute Specification in full compliance with 37 C.F.R. §§ 1.52, 1.121(b)(3), and 1.125, including proper status identifiers for each claim.

It is noted that no Preliminary Amendment has been filed, and there were no changes made to the specification, including the claims, in the Submission of Substitute Specification filed on May 25, 2004, in response to a Notice to File Corrected Application Papers.

Applicant also submits herewith a "marked-up" copy of the Substitute Specification in full compliance with 37 C.F.R. § 1.125(c) showing all the changes relative to the immediate prior version of the specification of record.

In accordance with 37 C.F.R. § 1.125(b), Applicant states that no new matter is added to the Specification.

Applicant submits that the Substitute Specification should now be properly scanned and stored.

Thus, the Commissioner is respectfully requested to acknowledge receipt of and enter the Substitute Specification including proper status identifiers for each claim.

It is believed that no petition or fee is required. However, if the U.S.P.T.O. deems otherwise, the Commissioner is hereby authorized to charge any deficiency in fees or to credit any overpayment in fees to Attorney's Deposit Account No. 50-0481.

Respectfully Submitted,



Sean M. McGinn, Esq.  
Registration No. 34,386

Date: 11/6/06  
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/814,288	04/01/2004	Naoki Yoshida	P21-169534M/ISI	6118

21254 7590 12/09/2005  
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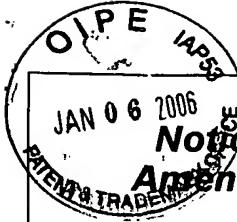
EXAMINER  
HEWITT, JAMES M

ART UNIT  
3679

PAPER NUMBER  
DATE MAILED: 12/09/2005

119/06

Please find below and/or attached an Office communication concerning this application or proceeding.



JAN 06 2008  
**Notice of Non-Compliant  
Amendment (37 CFR 1.121)**

Application No.	Applicant(s)	
10/814,288	YOSHIDA, NAOKI	
Examiner	Art Unit	
James M. Hewitt	3679	

— The MAILING DATE of this communication appears on the cover sheet with the correspondence address —

The amendment document filed on 5/6/04 & 5/25/04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121. In order for the amendment document to be compliant, correction of the following item(s) is required.

**THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:**

- 1. Amendments to the specification:
  - A. Amended paragraph(s) do not include markings.
  - B. New paragraph(s) should not be underlined.
  - C. Other See Continuation Sheet.
- 2. Abstract:
  - A. Not presented on a separate sheet. 37 CFR 1.72.
  - B. Other \_\_\_\_\_.
- 3. Amendments to the drawings:
  - A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New Sheet," or "Annotated Sheet" as required by 37 CFR 1.121(d).
  - B. The practice of submitting proposed drawing correction has been eliminated. Replacement drawings showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.
  - C. Other \_\_\_\_\_.
- 4. Amendments to the claims:
  - A. A complete listing of all of the claims is not present.
  - B. The listing of claims does not include the text of all pending claims (including withdrawn claims)
  - C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified. Note: the status of every claim must be indicated after its claim number by using one of the following status identifiers: (Original), (Currently amended), (Canceled), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).
  - D. The claims of this amendment paper have not been presented in ascending numerical order.
  - E. Other: \_\_\_\_\_.

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP § 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognitice/officeflyer.pdf>.

**TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:**

1. Applicant is given **no new time period** if the non-compliant amendment is an after-final amendment or an amendment filed after allowance. If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted within the time period set forth in the final Office action.
2. Applicant is given **one month**, or thirty (30) days, whichever is longer, from the mail date of this notice to supply the **corrected section** of the non-compliant amendment in compliance with 37 CFR 1.121, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a *Quayle* action.

**Extensions of time** are available under 37 CFR 1.136(a) **only if** the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

**Failure to timely respond** to this notice will result in:

Abandonment of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

Non-entry of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Continuation of 1(c) Other: The substitute specification is not in compliance with 37 CFR 1.125 as a version of the specification with markings to show all the changes relative to the immediate prior version has not been submitted.

*J.M.H.*  
JAMES M. HEWITT  
PRIMARY EXAMINER



Docket No. P21-169534M/ISI NGB.387

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In re patent application of

Naoki YOSHIDA

Serial No.: 10/814,288

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Honorable Commissioner of Patents  
Alexandria, Virginia 22313-1450

**SUBMISSION OF REPLACEMENT FORMAL DRAWINGS**

Sir:

In response to the Notice of Non-Compliant Amendment mailed December 9, 2005 (copy attached), submitted herewith are five (5) sheets of formal drawings comprising figures 1A-5 for the above-identified patent application. Acknowledgment of receipt is respectfully requested. Please substitute these formal drawings for the drawings which were filed with the application.

Respectfully Submitted,

Sean M. McGinn, Esq.  
Registration No. 34,386

Date: 1/6/06  
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